

# TTC AUDIT COMMITTEE REPORT NO.

**MEETING DATE:** July 26, 2012

**SUBJECT:** TTC AUDIT REPORT – PLANT MAINTENANCE DEPARTMENT  
– ELEVATING DEVICES SECTION

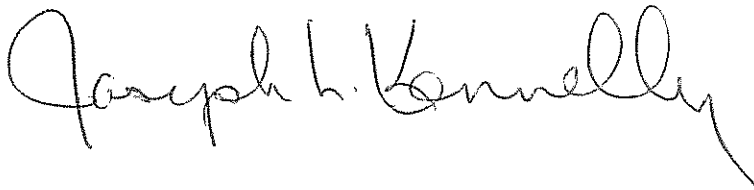
## INFORMATION ITEM

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### RECOMMENDATION

It is recommended that the Audit Committee receive for information the attached TTC Audit Report.

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Joseph L. Kennelly  
(Acting) Head of Audit

July 26, 2012  
01-27

Attachment – TTC Audit Report

## TORONTO TRANSIT COMMISSION

**TO** Andy Byford  
**FROM** Joseph Kennelly  
**DATE** May 29, 2012  
**SUBJECT** Internal Audit – Plant Maintenance Department – Elevating Devices Section

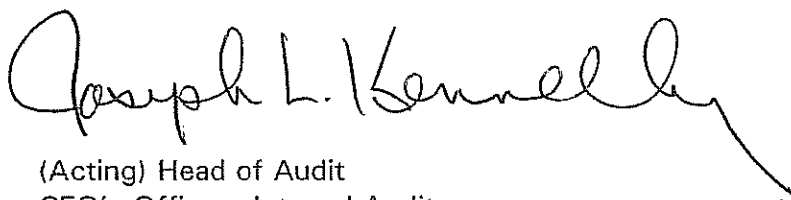
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An audit of the Plant Maintenance Department – Elevating Devices Section within the Operations and Safety Group has been completed. The Elevating Devices Section is responsible for the inspection, maintenance and repair of escalators, freight elevators and dumbwaiters. They are also responsible for the escalator overhaul program and administer several contracts related to elevator and escalator maintenance.

Our audit results found no significant deficiencies. The Department is well managed and internal controls were concluded to be functioning satisfactorily. Minor issues were identified and discussed with Dave Dixon, Chief Operating Officer, Jim Teeple, Deputy Chief Operating Officer, and Gary Shortt, Head of Plant Maintenance on May 28, 2012.

Completion of this audit will be reported to the July 26, 2012 TTC Audit Committee.

If you have any questions or wish to meet regarding this audit, please do not hesitate to call me at Ext. 2030.



(Acting) Head of Audit  
CEO's Office – Internal Audit

Copy: Dave Dixon  
Jim Teeple  
Gary Shortt  
Christine Leach

01-27  
File No. 11-30011

## TORONTO TRANSIT COMMISSION

**TO** David Dixon

**FROM** Joseph Kennelly

**DATE** April 18, 2012

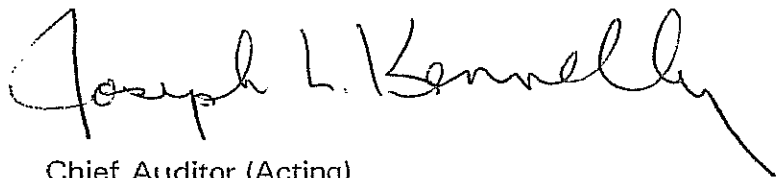
**SUBJECT** Internal Audit – Plant Maintenance Department – Elevating Devices Section

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We have completed our audit work for the Plant Maintenance Department – Elevating Devices Section. No significant risks were identified during audit planning, so further audit work was not warranted past the Survey Phase.

The Department is well managed and internal controls were concluded to be functioning satisfactorily. Minor issues were identified and discussed with Management, and corrective action has been taken.

If you would like to set up a meeting to discuss the above matters, please do not hesitate to call our office.



Chief Auditor (Acting)  
CEO's Office – Internal Audit

Copy: Jim Teeple  
Gary Shortt  
Christine Leach

01-27  
File No. 11-30011